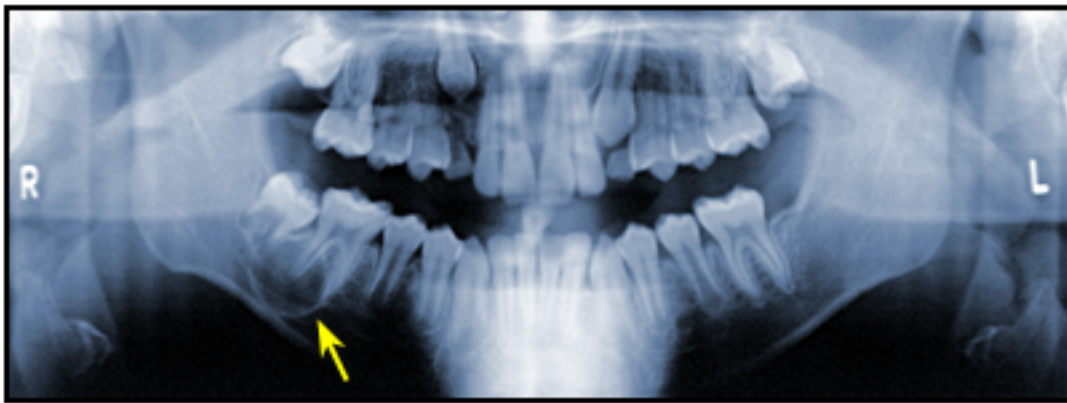


Odontogenic Keratocyst: The Northwestern USA Experience

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Abstract

Odontogenic keratocyst (OKC) is a cyst of tooth origin with an aggressive clinical behavior including a high recurrence rate. OKC demographics in the northwestern United States are presented and compared to those reported elsewhere. A total of 430 cases were obtained from 393 patients of the north-west region over a period of 15 years. Data evaluated included: site, gender, age, race, and association with bifid-rib basal cell nevus syndrome (Gorlin syndrome). Site distribution of the northwest group was similar to that of international groups. For the northwest group, the most common lesion location was the body of the mandible. Gender distribution in the northwest group appeared similar to other reports made in Denmark, England, Japan, and other regions in the United States. However, when gender distribution was compared by decade of life, the northwest group had the largest cluster of males in the fourth decade and of females in the second decade. The greatest frequency in both genders occurred in the third decade. There were 18 of 258 (6.9%) male patients with OKC under age 10 in the northwest group and nearly 80% of the patients were Caucasian. The race factor is rarely described in other reports. Gorlin's syndrome was present in 5% of the patients, with a higher distribution in the first and second decades. In conclusion, this is the first report of OKC cases from the Pacific Northwest region of the United States of America.

Keywords: Odontogenic keratocyst, OKC, northwestern USA, comparison, demographics, Gorlin syndrome

Introduction

The odontogenic keratocyst (OKC) was first described in 1876¹ and named by Phillipson in 1956.² It is one of the most aggressive odontogenic cysts of the oral cavity. OKC is known for its rapid growth³⁻⁵ and its tendency to invade the adjacent tissues including bone.^{1,5-7} It has a high recurrence rate^{5,8,9} and is associated with the basal cell nevus syndrome.^{10,11} Harring et al. best characterized this cyst by stating that "After thirty years of study, questions related to the histogenesis, pathogenesis, histology, high recurrence rate, and neoplastic potential of the OKC are still being debated."¹²

Odontogenic keratocysts are generally thought to be derived from either the epithelial remnants of the tooth germ, or the basal cell layer of the surface epithelium.¹³⁻²¹ The majority of patients are in the age ranges of 20-29 and 40-59,²² but cases ranging from 5 to 80 years have been reported.^{12,23} In one study the average age of males was 9.7 years older than that of females.¹² The distribution between sexes varies from equality to a male to female ratio of 1.6:1, except in children.^{12,24-26} Odontogenic keratocysts may occur in any part of the upper and lower jaw with the majority occurring in the mandible, most commonly in the angle of the mandible and ramus.^{12,22,25,27,28}

Radiographically, odontogenic keratocyst present predominantly as a unilocular radiolucency with well-developed sclerotic borders (Figure 1A).

Radiographic Presentation of the Odontogenic Keratocyst

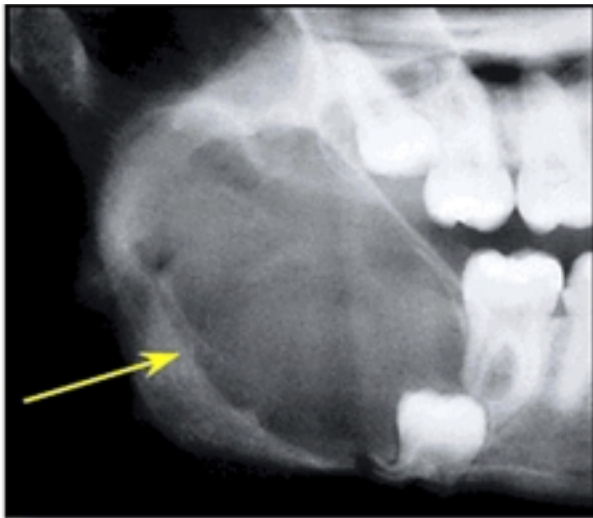
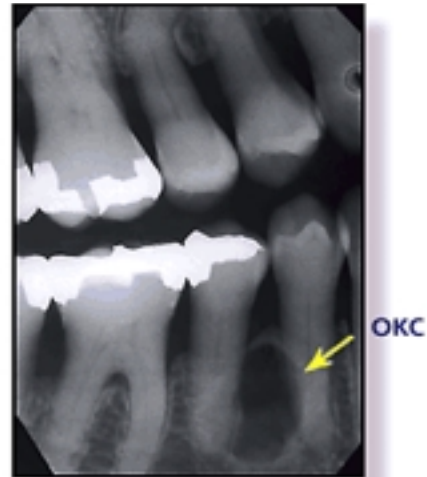


Fig. 1A – Large OKC involving an impacted third molar tooth, unilocular with scalloped borders, representing the typical radiographic appearance of OKC.

They may also present as a multilocular radiolucency with a ratio of unilocular to multilocular varying from 3:112 to 1:1.3.²⁴ Perhaps the multilocular appearance described in the latter was more of a unilocular with scalloped borders lacking true compartment formation (Figure 1B).²⁴

Radiographic Presentation of the Odontogenic Keratocyst



Odontogenic keratocysts of the maxilla are smaller in size compared to the mandible. When they are large, they tend to expand bone. No difference in site distribution was seen between unilocular and multilocular cysts.¹² These lesions can also present as a small and oval radiolucency between teeth simulating a lateral periodontal cyst (Figure 1A). They can also appear as a radiolucency simulating a residual apical periodontal cyst (Figure 1C).

Radiographic Presentation of the Odontogenic Keratocyst

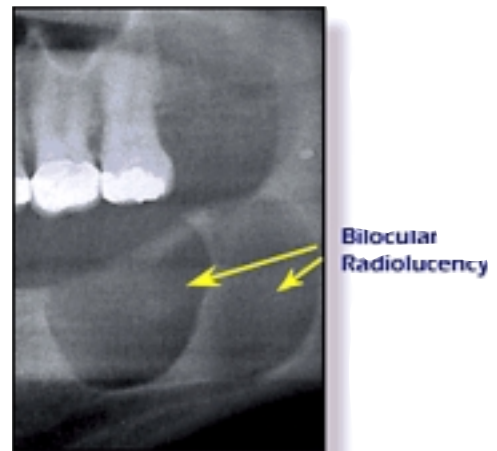


Fig. 1C – Large and well-demarcated bilocular radiolucency with cloudy appearance in the posterior mandible in an area of extracted teeth. The cloudy appearance is believed to be due to the presence of keratin.

Multiple keratocysts (Figures 1D & 1E) are frequently associated with the bifid-rib basal cell nevus syndrome (Gorlin syndrome).²⁵ Unilocular radiolucency with sclerotic border is also known to be associated with Gorlin syndrome (Figure 1E). For purposes of this paper, the bifid-rib basal cell nevus syndrome will be referred to as the Gorlin syndrome. Some authors suggest that as many as half of odontogenic keratocysts are related to the Gorlin syndrome.²⁹ These lesions grow to sizes larger than any other odontogenic cyst. They more often penetrate the bone rather than expand it and grow in an anterior to posterior direction.³⁰ Despite this aggressive growth, they often remain asymptomatic.³¹

Radiographic Presentation of the Odontogenic Keratocyst

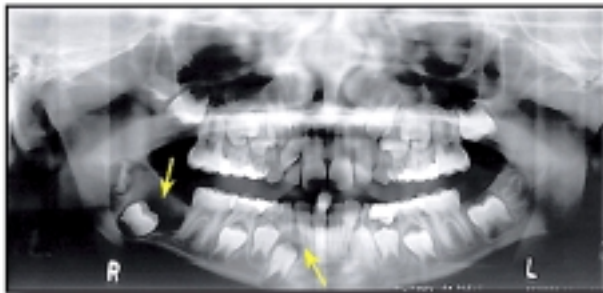


Fig. 1C – Multiple radiolucencies involving the left posterior mandible and left mandibular canine. This is associated with a known Gorlin syndrome patient.

Radiographic Presentation of the Odontogenic Keratocyst



Fig. 1C – Multiple corticated radiolucencies in the corticated unilocular radiolucency in the left posterior mandible with typical anterior to posterior direction of growth in an area of extracted teeth.

Odontogenic keratocysts are significant clinical entities due to their tendency for recurrence and aggressive behavior. These cysts are known to have a high recurrence rate ranging between 13 to 60%.^{5,6} Complete surgical removal is the treatment of choice. An excellent reference for various modalities is by Voorsmit and Stoelinga where multiple surgical approaches with and without the use of Carnoy's fixative solution is recommended. In brief, this study shows that Carnoy's solution is effective in reducing recurrence rate when patients were followed for two years.³² Other investigators advocate marsupialization and occasionally resection for the more aggressive cysts that tend to perforate buccal and lingual bone.³³ Resection is a rare modality of treatment. Conservative surgical removal and long-term follow-up is the treatment of choice by most clinicians.

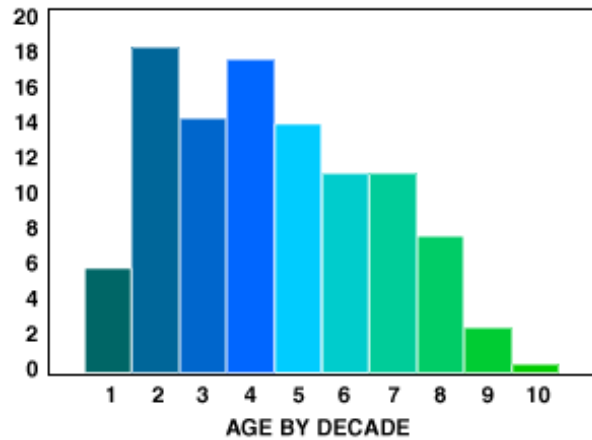
Seeking to expand the present knowledge of this disease, 430 cases of OKC from 393 patients were examined in a group representative of the Pacific Northwestern population of the United States. This report discusses the demographic findings including age, gender, race, site of lesion, and association with the Gorlin syndrome. The report compares the Northwest data to that of the previous reports describing cohorts in the United States and worldwide.

Four hundred and thirty cases from 393 patients were collected from the records of the Oral Pathology Biopsy service in the Department of Oral & Maxillofacial Surgery at the School of Dentistry, University of Washington in Seattle. These cases represented 1% of all oral biopsies (40,568) performed over 15 years. The patient records were reviewed for demographics and compared to demographics of published reports from the literature. Table 1 describes cohorts of OKC patients from the United States excluding the Northwest to cohorts elsewhere in other parts of the world. The Northwest group of cases are referred to as Northwest (NW), since the patient pool is derived from the states of Washington, Idaho, Montana, and Utah. However, the majority of these cases (over 95%) are from the state of Washington. All cases were reviewed histologically by one examiner. Those that did not fit the characteristic histological criteria as described widely in the literature as having uniform thickness, corrugated and keratinized lining epithelium, and a palisaded basal cell layer were eliminated from the study. This report will bring forward data on the demographics of odontogenic keratocyst in the northwest (which has not previously been published) and compares it to data from other regions of the USA and other countries.

Results

Age: The age range was 7-93 years. Examined by decade of age, 23 of these cases were in the first decade. Eight of these were from a single family of three brothers of Asian origin. Decades two and four had similar numbers of cases and the most cases. Decades three, five, six, and seven were closely distributed with moderate incidence. The numbers tapered from decade 8 and older (Figure 2).

Figure 2.



Gender: When examining the gender of patients, data were also segmented by decade (Figure 3). In the NW population there was a slightly higher percentage of males in all decades except for the second, in which there was almost equal representation. A predominance of females was seen in the ninth and tenth decades. The NW population was the second study group reporting patients in the tenth decade (Table I).

Figure 3.

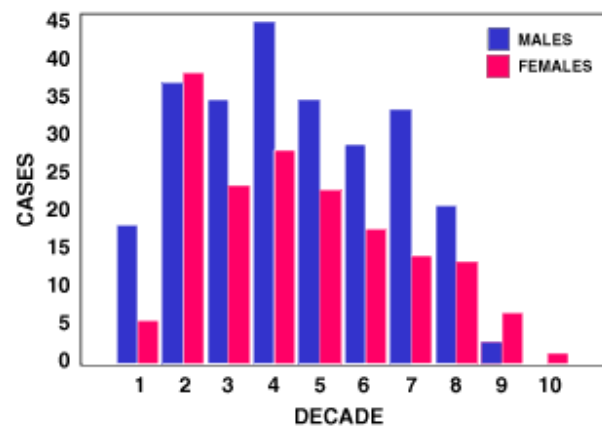


Table 1. Age Range Distribution of Comparative Studies of Keratocysts

Year	Author	Country	# Cysts	Decade									
				1	2	3	4	5	6	7	8	9	10
1963	Pindborg	Denmark	30	Range 15-75									
1977	Brannon	USA-C	312	2	52	54	44	38	37	27	10	2	1
1985	Zachariades	Greece	16		1	2	2	4	3	3	1		
1986	Chen	Taiwan	16		1	5	9	1					
1987	Woolgar	England	228	2	35	43	38	21	28	28	20		
1987	Woolgar	England	432	6	86	82	73	41	51	50	28	5	
1987	Patridge	England	60		10	20	7	6	10	14		2	
1988	Haring	UAS-C	60	2	7	18	6	6	7	12	2		
1988	Kondell	Sweden	29		1	6	8	2	8	2	2		
1990	Kakarantza	Greece	87		12	7	10	15	20	7	8		
1992	Crowley	USA-E	449	Mean age 37.5									
1995	Anad	USA-SE	50p	Range 8-85									
1995	Nakamura	Japan	95	1	25	21	18	18	4	2	5	1	
1996	Valiente-Riveria	USA-NW	430	23	73	57	71	56	45	45	31	9	1
1991	Brondum	Denmark	44p	1	5	8	4	10	7	3	5	1	
1996	El-Hajj	Sweden	62		9	15	4	9	5	10	4		

P (patient), C (central), E (east), SE (southeast), N (northwest)

Lesion Site: When examining the site of lesions by major geographic areas in the northwest with other geographic locations examined in this report, the mandible was affected in over 57% of all individual reports. Although the data is not shown in Figure 4, there was an average of 74%

of all reported cases affecting the mandible. The NW data was further subdivided into areas within the jaws, i.e., anterior and posterior of both jaws. The data clearly showed a greater incidence in the body of the mandible with the posterior maxilla being second (Figure 5).

Figure 4.

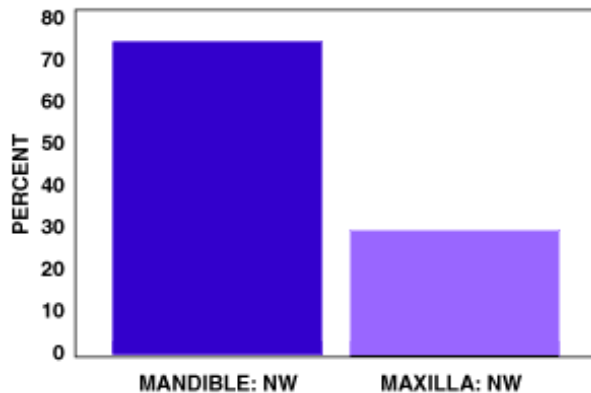
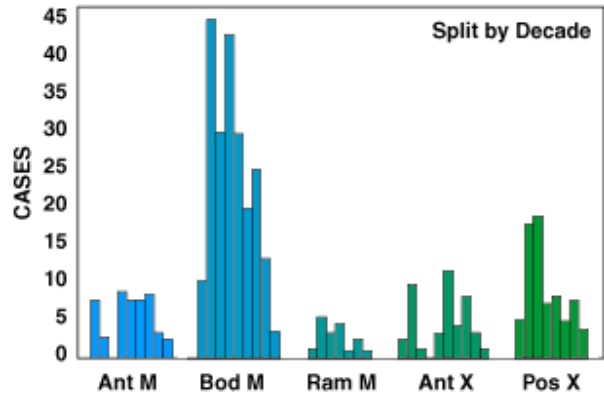
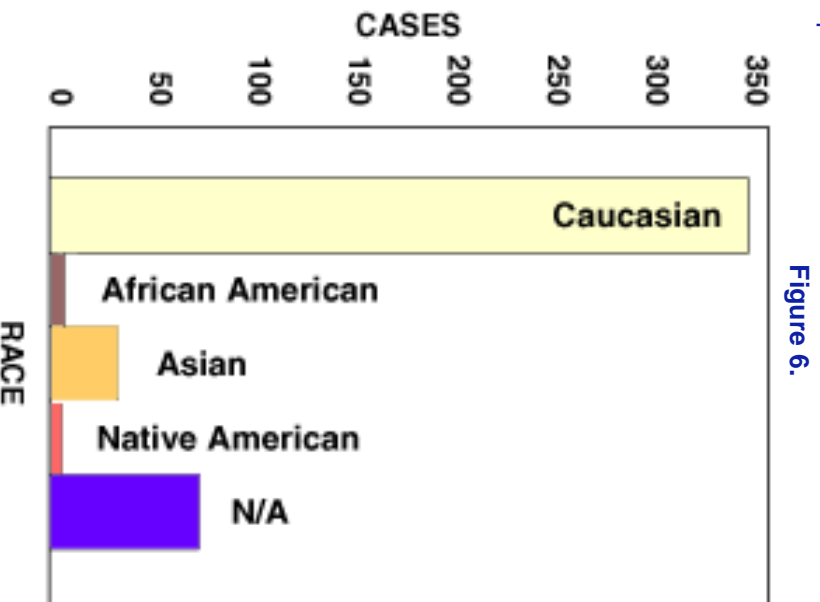


Figure 5.



Race: Race was known for only 370 patients of the 393 patients in the NW series. Among the total number of cases 338 (80%) were Caucasian, 26 Asian (7%), 5 African-American (1.2%), and 1 Native American (Figure 6). OKC was found to be 67 times more prevalent in caucasians than African-Americans and 11 time more than Asian patients.



Association with Gorlin's Syndrome and in Children: The incidence of cases associated with Gorlin syndrome was also examined. A total of 17 patients with this syndrome were identified in the NW data. Of these, six were in the first decade, three in the second decade, four in the third, and two each in the fourth and fifth decades.

Discussion

The data reported here constitutes one of the largest published studies of odontogenic kerato-

cysts, thus expanding the reliable and representative demographics of the American population. Approximately 1% of the samples reviewed by the University of Washington biopsy service had OKCs. This incidence is slightly higher than in some reports, but very similar to that of Daly³⁴ who reported 335 cases in 40,000 biopsies. The incidence of OKC also seems to be increasing with time. El-Hajj made the same observation which he attributed to "competence and knowledge of the morphologic characteristics of odontogenic keratocysts have increased among oral pathologists."²⁶ It is also noteworthy that the rate of accumulation of cases varies widely in different reports.^{10-12,22,24,25,36} This may reflect regional factors such as race distribution, diet, or environmental exposures. The series of 430 cases from 393 patients seen over a period of 15 years is the most rapid collection rate in the USA and the second most rapid in the world literature other than Woolgar.³⁶

Age Distribution: The age distribution of odontogenic keratocysts in the northwest suggests there is no age group with maximum incidence (Figure 2). As in other studies,^{24,25} a broad peak is seen in the second to fourth decade. Apart from the first decade, the age at diagnosis appears to reflect simply the age distribution of our population as a whole. The data suggests more prevalence in the seventh decade, where the incidence is almost equal to that in the third decade (seen also by others).^{12,35,37} This is somewhat higher than one might predict. Comparing the data from the NW with other national and international reports, an appreciably higher incidence in the first decade in the NW is found. A significant number of these patients (37%) have Gorlin syndrome.³⁸ Apart from the lower incidence in the third decade, the rest of the data parallels that from the other USA and international studies. It should be noted that these studies are representative of the industrialized, developed countries; no comparable studies were available from developing countries. For this population, again, it appears the incidence of OKC follows the age distribution of the general population rather than showing a significant maximum.

Gender Distribution: The cases of the NW group segmented by decade were further divided by gender. In virtually all decades, except the ninth and tenth, there is a preponderance of males over females in the incidence of odontogenic keratocysts (Figure 4). Only in the upper two decades is there a preponderance of females which may reflect the fact that more females than males survive to this age. Considering the gender without the decade factor, the male to female ratio was 3:2. Comparing this data against the national^{11,12,23,29} and other international^{9,36,37,40,43} reports, the overall gender distribution is virtually identical. Examining the gender distribution as a function of age group, there are marked differences at both ends of the age range (Figure 3). In the first decade, the distribution in the NW is more than 2:1 towards the male. In other published reports the number of cases that included both gender and decade data are not sufficient for statistical evaluation. In the third through the eighth decades, the NW figures show a male preponderance until the ninth decade when longevity finally outweighs susceptibility.

Lesion Site: Odontogenic keratocysts have a tendency to occur in any part of the mandible and maxilla, but the majority, almost 70%, arise in the body of the mandible.^{12,22,24,25} This is an area common to many benign but aggressive odontogenic tumors such as ameloblastoma. This is also a common location for dentigerous cysts and other odontogenic cysts. The NW data is compatible with that of the literature in that the majority of cases occurred in the body of the mandible (Figure 5).

Race Distribution: Unfortunately, a full comparison cannot be made since the only other studies reporting a racial distribution are those of Rachanis, et al. (49 cases), Crowley (449 cases), and Brannon (283 patients).^{44,11,10} The distribution from these studies is shown in Table 2.

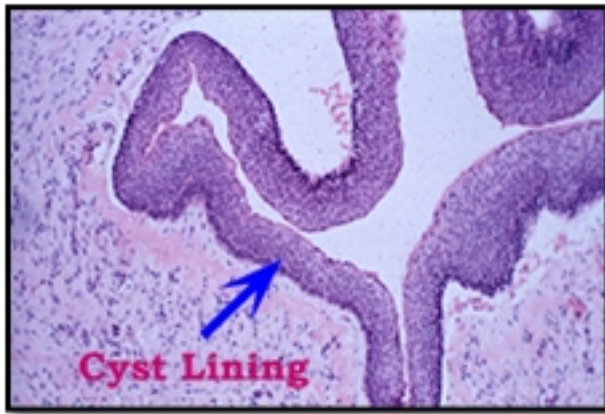
Table 2. Odontogenic Keratocysts by Race Distribution

Study	Caucasian	African American
Rachanis	43 cases	6 cases
Crowley	309 cases	54 cases
Brannon	255 cases	6 cases

The NW data (Figure 6) was more consistent with that of the Brannon study which represented the central USA. The Crowley study represented the Mississippi area with a higher African American population compared to the NW and central United States. The Rachanis study⁴⁴ showed that a wide difference in distribution was reported when compared to the USA data and a closer ratio between white and black populations in Africa when compared to the USA. This is interpreted to be due to the larger black population.

It is clear, however, that OKC is a disease predominantly of Caucasian populations and, if one may judge from the limited evidence provided by comparing the number of cases in the different reports, a disease chiefly of Northern Europeans; a group which is heavily represented in the NW.

OKC in Children and Gorlin Syndrome: Gorlin syndrome has been studied as an autosomal dominant disorder arising from defects on chromosome 9q23.1-q31.⁴¹ This syndrome is often identified in juvenile kindred.⁴² Some authors suggest that about half of OKC cases are associated with this syndrome.¹⁰ When so associated, the cysts are usually multiple and the patient is younger.³⁰



This H & E stained histologic slide illustrates the low power of a typical OKC made up of thin and uniform keratinized stratified squamous epithelium artifactually separated from the underlying connective tissue wall (magnification X200)

Woolgar describing a population in England found that of 682 OKCs reported,³⁶ 164 were from 60 patients with Gorlin syndrome. Of 87 cases from Athens, 7 (8.8%) were associated with Gorlin syndrome.³⁵ All cases associated with Gorlin syndrome had parakeratinized epithelial lining as reported by others.^{3,10,39} In a third study of 60 patients, 9 were age 20 or younger. This case showed a 6:1 male to female preponderance in the age range 11 to 20 years.¹² Finally, in

another series of 57 OKC where age ranged from 11 to 78 years, 9 of 57 subjects were under 20 years of age.²⁶ A 14-year old boy with Gorlin syndrome had an OKC of the mandible that showed epithelial atypia.¹⁰ In the northwest group, the largest number of Gorlin syndrome patients was in the first decade, three of which were brothers. On the other hand, some suggest that odontogenic keratocysts are found only in patients with permanent teeth or those who are edentulous.¹⁵ However, there is at least one report of OKC in a 5 year-old.¹² Clearly, there is much to learn about the origin of this cyst and its association with Gorlin syndrome. However, the NW data as well as others show a higher incidence of Gorlin syndrome with younger patients especially under 10 years of age. This is shown in Figure 1D and Figure 1E which are panoramic radiographs of two siblings. It seems appropriate that OKC of patients under 20 years of age should be followed by genetic counseling and medical examination.

Due to the lack of information on treatment, recurrence, and follow-up data, this study did not address the recurrence rate in the Northwest population.

Conclusion

This is the first report of OKC cases from the Pacific Northwestern region of the United States of America.


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