# Efficacy of Oral Exfoliative Cytology in Diabetes Mellitus Patients: A Light Microscopic and Confocal Microscopic Study

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#### ABSTRACT

**Aim:** Diabetes mellitus (DM) has become a global problem. By monitoring the health status of these individuals, diabetic complications can be prevented. We aimed to analyze alterations in the morphology and cytomorphometry of buccal epithelial cells of type 2 DM patients using oral exfoliative cytology technique and determine its importance in public health screening, diagnosis and monitoring of diabetes mellitus.

**Materials and methods:** The study was carried out in 100 type 2 DM patients and 30 healthy individuals. Smears were taken from the right buccal mucosa and stained by the Papanicolaou technique. Staining with Acridine orange was carried out to view qualitative changes with confocal laser scanning microscope (LSM-510 Meta). The cytomorphometry was evaluated using IMAGE PRO PLUS 5.5 software with Evolution LC camera. All findings were statistically analyzed.

**Results:** The results showed that with increase in fasting plasma glucose levels, there is significant increase in nuclear area, decrease in cytoplasmic area, and increase in nuclear cytoplasmic ratio (p < 0.05) when compared to the control group. Various qualitative changes were noted, such as cell degeneration, micronuclei, binucleation, intracytoplasmic inclusion, candida and keratinization.

**Conclusion:** In the present study, we found significant alterations in the cytomorphometry and cytomorphology of buccal epithelial cells of type 2 DM patients. This study supports and extends the view that these cellular changes can alert the clinician to the possibility of diabetes and aid in monitoring of diabetes throughout the lifetime of the patient.

**Keywords:** Confocal laser scanning microscope, Cytomorphometry, Diabetes mellitus, Oral exfoliative cytology.

**How to cite this article:** Gopal D, Malathi N, Reddy BT. Efficacy of Oral Exfoliative Cytology in Diabetes Mellitus Patients: A Light Microscopic and Confocal Microscopic Study. J Contemp Dent Pract 2015;16(3):215-221.

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#### INTRODUCTION

Diabetes mellitus (DM) is not a single disease entity but rather a group of metabolic disorders sharing the common underlying feature of hyperglycemia. Hyperglycemia results from defects in insulin secretion, insulin action or both. The chronic hyperglycemia and resultant metabolic deregulation of carbohydrate, fat and protein may be associated with secondary damage in multiple organ systems, especially the kidneys, eyes, nerves and blood vessels.<sup>1</sup> Recent estimates indicate that the number of people with DM in 2010 is 285 million and is expected to be 438 million in 2030. The global prevalence rate is 6.6% in 2010 and is expected to rise to 7.8% in 2030.<sup>2</sup>

India leads the world with largest number of diabetic subjects earning the dubious distinction of being termed the 'diabetes capital of the world'. According to the Diabetes Atlas 2009 published by the International Diabetes Federation, the total number of people with diabetes in India is 50.8 million and is expected to rise to 87 million in 2030.<sup>3</sup> It can no longer be considered a disease of affluent nation alone, it has become a global problem, a major epidemic of the twentieth century, and one which shows no sign of abating. <sup>3</sup>

Diabetes mellitus is the leading cause of end-stage renal disease, adult-onset blindness and traumatic lower extremity amputations. The prevalence of DM is increasing sharply in the developing world as more people adopt a sedentary lifestyle, with India and China being the largest contributors to the world's diabetic load.<sup>2</sup>

Oral exfoliative cytology is a relatively simple and noninvasive clinical technique which has the potential to be developed as a routine investigation for screening of DM. It can be used chair-side during routine dental examination.<sup>4</sup> The various alterations in the cytomorphology of the oral mucosa in diabetes and characterization of these changes give clinicians a more accurate image of what really happens during diabetes. So in our study, the cytomorphometric and cytomorphologic changes were compared according to the different glucose levels

#### Deepika Gopal et al

of diabetes. In addition to light microscope, we used confocal laser scanning microscope, to ascertain the various cytomorphologic changes seen under light microscope. It is possible that the degree of cellular change depends on the progression of diabetes. Hence, the present study was undertaken as this research area has received little attention to date.

## MATERIALS AND METHODS

## **Selection of Subjects**

The study was carried out in type 2 DM patients attending the diabetic outpatient department of General Medicine of Sri Ramachandra University, Chennai, India. These patients were under regular monitoring of blood sugar levels and subsequent treatment. The experimental group included 100 type 2 DM patients and the control group consisted of 30 healthy individuals free of any systemic diseases with clinically normal oral mucosa.

# **Study Groups**

The entire study sample was grouped for statistical analysis, based on the recent fasting plasma glucose (FPG) levels as follows: group I: FPG 110-150 mg/dl; group II: FPG 150-200 mg/dl; group III: FPG >200 mg/dl; control: FPG < 110 mg/dl.

# **Exclusion Criteria**

Individuals with habit of tobacco use in any form, habitual alcohol intake, any other systemic illness, clinically evident nutritional deficiencies like anemia, presence of oral sepsis were excluded from the study. After selection of the patient, informed consent was obtained and the procedure was carried out. In addition, the biochemical and hematological measurements were carried out to exclude anemia and other systemic disease.

## **Smear Collection and Preparation**

Patients were asked to rinse their mouth to remove any debris. Following this, with a gentle scraping motion, cells were scraped from clinically normal appearing right buccal mucosa. The scrapings were then evenly smeared onto the glass slide and immersed in 95% isopropyl alcohol in a coplin jar, for half an hour.

# **Staining Technique**

Smears from all the samples were stained by the papanicolaou technique (PAP). For few samples two smears were taken from the same site, one stained using PAP and other using acridine orange (AO), a fluorescent dye, to view with confocal laser scanning microscope. In cases with very high plasma glucose levels smear was stained with periodic acid schiff (PAS) technique to assess presence of candida.

## **Cytomorphometric Assessment**

The cytomorphometric analysis was done using IMAGE PRO PLUS 5.5 software with evolution LC camera. In each of the PAP stained slide, 10 fields were chosen by systematic sampling in a step wise manner, moving from left to right and then down and across in order to avoid measuring the same cells again. Cells with clearly defined cellular outlines were only chosen and those that were clumped, overlapped or folded were excluded for analysis. The cells were projected on to the monitor via the camera at 40× magnification and images were captured. In the software main menu, the function 'Measurement mode' was selected and the icon specifying 'polygon' was enabled to analyze the area of interest. The nuclear area (NA) and cytoplasmic area (CA) were obtained by drawing around the nuclear and cell boundaries using the cursor. The areas were recorded in square microns. The nuclear cytoplasmic ratio (N/C) was calculated for all the cells. The various parameters calculated were by the image analysis software, thereby reducing the subjective error.

# Cytomorphologic Assessment

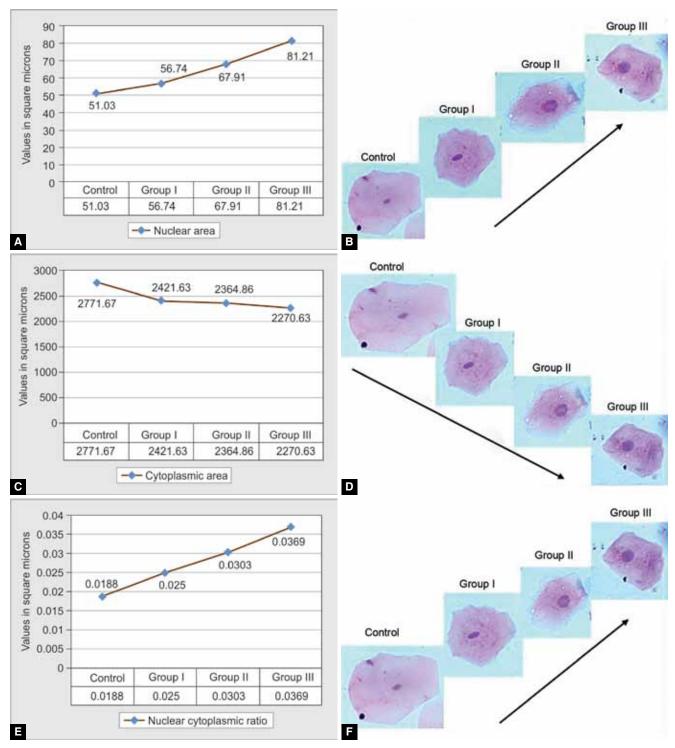
The PAP and PAS stained slides were viewed under light microscope whereas the AO stained slides were viewed under confocal laser scanning microscope (LSM-510 meta). Morphologic assessment included inflammatory component, cell degeneration, micronuclei, binucleation, intracytoplasmic inclusion, candida, keratinization, intracytoplasmic microorganisms and any other changes.

# RESULTS

On comparison of the cytomorphometry between the various study groups, the results showed a significant increase in the NA and N/C ratio (p < 0.001) with increase in the level of FPG level. On the contrary, there was a significant decrease in the level of the CA (p < 0.004) with increase in the FPG level (Table 1). The results are shown with a line graph and representation of cell from each group in Figures 1A to F.

The qualitative changes in the different groups were analyzed statistically. For this the experimental group was divided into group A with a FPG less than 200 mg/ dl and group B with a FPG more than 200 mg/dl. The Chi-square value and odds ratio were calculated (Table 2). We found that micronuclei (MN), presence of keratinization and cell degeneration was statistically significant.





**Figs 1A to F:** (A) Line graph comparing the nuclear area between various groups, (B) a representation of cell from each group, (C) line comparing the cytoplasmic area between various groups, (D) a representation of cell from each group, (E) line graph comparing the nuclear cytoplasmic ratio between various groups and (F) a representation of cell form each group

Increased numbers of polymorphonuclear leukocytes were observed in the study group than chronic inflammatory cells.

## DISCUSSION

Diabetes mellitus has become a global problem. It is not a disease but a metabolic disorder. Early detection and subsequent monitoring of this disorder will definitely improve the health of individuals suffering from diabetes. By monitoring the health status of the individuals, diabetic complications leading to morbidity can be prevented, thus producing a healthy society. In the present study, we aimed to analyze alterations in the morphology and cytomorphometry of buccal mucosal cells of type 2 diabetics using exfoliative cytology technique and determine its importance in public health screening and monitoring of DM.

#### Deepika Gopal et al

	Groups	Ν	Mean	Standard deviation	p-value	
	Control	30	51.0319	4.66957		
*NA(µm²)	I	35	56.7402	6.56845	<0.001	
	II	32	67.9144	4.92782		
	III	33	81.2142 10.97490			
*CA(µm²)	Control	30	2771.6794	504.87645		
	I	35	2421.6397	633.84979	0.004	
	II	32	2364.8695	551.06551	0.004	
	III	33	2270.6325	554.82210		
*N/C ratio	Control	30	0.018874	0.0031945		
	I	35	0.025061	0.0074491	<0.001	
	II	32	0.030308	0.0074751	<0.001	
	III	33	0.036975	0.0064759		

\*(NA: Nuclear area, CA: Cytoplasmic area, N/C: Nuclear cytoplasmic ratio) FPG: Fasting plasma glucose; Control: FPG < 110 mg/dl, Group I: FPG = 110-150 mg/dl; Group II: FPG = 150-200 mg/dl; Group III: FPG >200 mg/dl Table 1 shows there is increase in the level of mean value of NA & N/C ratio with increase in the level of FPG (statistically significant p < 0.001) and a decrease in the level of mean value in the CA with increase in the FPG level (statistically significant p < 0.004)

Table 2: Statistical analysis of various qualitative changes in the experiment groups

	A #FPG < 200 mg/dl		B #FPG > 200 mg/dl						
					Chi-square			Percentage	
Groups	Present	Absent	Present	Absent	value	Odds ratio	p-value	Α	В
Micronuclei	24	43	20	13	5.51	0.36	0.018*	35.82	60.6
Cell degeneration	25	42	19	14	3.68	0.44	0.05*	37.31	57.57
Binucleation	28	39	17	16	0.84	0.68	0.35	41.79	51.51
Keratinization	18	49	16	17	4.67	0.39	0.031*	26.86	48.48
Microorganisms	15	52	11	22	1.38	0.58	0.24	22.38	33.33
Inflammatory cells	29	38	16	17	0.24	0.81	0.62	43.28	48.48
Candida	5	62	5	28	1.45	0.45	0.22	7.46	15.15
Intracytoplasmic inclusion	36	31	18	15	0.01	0.97	0.93	53.73	54.54

(#FPG: Fasting plasma glucose; \*p-value-significant) Group A: FPG < 200 mg/dl and Group B: FPG > 200 mg/dl. The Chi-square value and odds ratio were calculated. Table 2 shows that micronuclei, presence of keratinization and cell degeneration was statistically significant

The study showed a significant steady increase in NA with increase in blood sugar level (Figs 1A to E) from control group (Mean NA-51.03 µm<sup>2</sup>) to the diabetic Group III (Mean NA-81.21  $\mu$ m<sup>2</sup>). This finding concurs with other previously reported studies who reported a significant increase in NA in diabetic patients.<sup>5-7</sup> However, all these studies did not categorize the patients based on the blood glucose levels. Similar studies using cytomorphometry have been done to analyze the effect of alcohol, tobacco, radiotherapy on buccal mucosa.<sup>8-12</sup> The nucleus contains the genomic DNA, histones and several proteins. The nuclear size can, therefore, be altered by change in the content of DNA or proteins. There is usually twice as much protein as DNA in a nucleus.<sup>13</sup> Hyperglycemia induces a compensatory increase in insulin secretion which in turn causes increase in protein formation. Insulin has several mitogenic functions, including initiation of DNA synthesis in certain cells. These may account for the increase in NA seen in diabetes patients.<sup>14</sup>

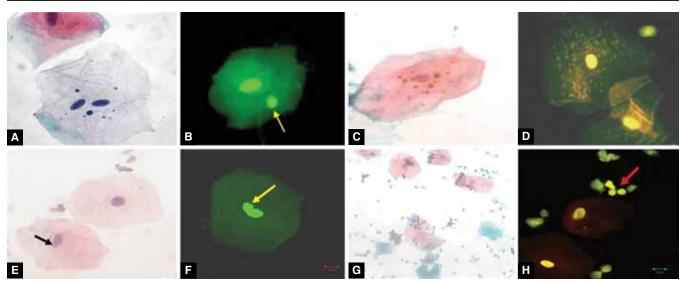
Our study revealed a decrease in CA with increase in blood sugar level, i.e. group III mean CA was 2270.63

 $\mu$ m<sup>2</sup> and control mean CA was 2771.6  $\mu$ m<sup>2</sup> (Figs 1A to E). This finding is contradictory to studies by Alberti et al<sup>5</sup> and Shareef et al<sup>6</sup> who found that CA did not show any significant difference in diabetics and Hassan et al<sup>7</sup> who reported a significant increase in CA. However, this finding concurs with Prasad et al<sup>15</sup> who reported a decrease in cell diameter and cytoplasmic diameter with increase in glycemic status. Ogden et al<sup>10</sup> have reported a similar reduction in cell diameter in patients with habit of alcoholism. The reduction in CA in our study could be due to the dehydrated condition of the diabetics. Increased blood glucose causes dehydration, polyuria, polydipsia, intracellular and extracellular dehydration. Glucose does not diffuse easily through the pores of the cell membrane causing an increase in osmotic pressure. This increase in osmotic pressure in extracellular fluid causes osmotic transfer of water out of the cells explaining the reduction in CA.<sup>16</sup>

The comparison of N/C ratio between control and experiment groups showed a steady increase in N/C ratio (from Control-0.0188 to Group III-0.0369) with increasing



Efficacy of Oral Exfoliative Cytology in Diabetes Mellitus Patients: A Light Microscopic and Confocal Microscopic Study



**Figs 2A to H:** Micronuclei viewed under light microscope (PAP stain 40×) and under confocal microscope (AO stain, 100×) (A and B); intracytoplasmic inclusions seen under light microscope (PAP stain 40×) and under confocal microscope (AO stain 100×), (C and D); nuclear bud formation viewed under light microscope (PAP stain,40x) and under confocal microscope (AO stain,100×), (E and F); inflammatory cells under light microscope (PAP stain 20×) and under confocal microscope(AO stain,40×) (G and H)

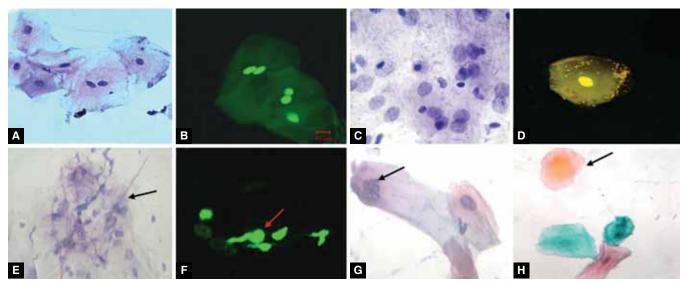
blood glucose levels (Figs 1A to F). This may be due to a real increase in NA and decrease in CA in diabetic patients, rather than just a deviation from normal. This finding concurs with Rivera and Nunez de-Mendoza<sup>17</sup> and Prasad et al<sup>15</sup> who also reported increase in N/C ratio.

In the qualitative changes, we found that (MN) Micronucles was present in 44 cases which was statistically significant (p = 0.018). Micronucles are known biomarkers of genome damage and has been studied in buccal cell systems. They provide a convenient and reliable index of both chromosome breakage and chromosome loss. Micronucles is found in cells that have completed nuclear division. Micronucles was judged according to criteria by Holland et al.<sup>18</sup> Another biomarker of genome damage is nuclear bud formation which was also visualized but not statistically analyzed.<sup>16</sup> The nuclear bud has the same morphology and staining properties as the nucleus, however, its diameter may range from a half to a quarter of that of the main nucleus. Hyperglycemia results in formation of Advanced Glycation End products (AGE), endothelial nitric oxide synthase uncoupling, activation of protein kinase C and activation of polyol pathway. This in turn causes activation of reactive oxygen species which results in induction of oxidative stress. Oxidative stress is an imbalance between the production of reactive oxygen species and the biological systems ability to readily detoxify the reactive intermediates or to repair the resulting damage. The effects of oxidative stress depend upon the size of these changes, wherein a cell can overcome small perturbations and regain its original state. However, more severe oxidative stress can cause cell death and even moderate oxidation can trigger apoptosis, while more intense stresses may cause necrosis. Oxidative stress has been found to cause genomic damage in DM.<sup>19</sup> This supports the observation of MN in diabetic patients. Few other studies also showed increase in MN in lymphocyte cultures of type 2 diabetics.<sup>20,21</sup>

This is the first reported study in which an attempt has been made to study qualitative changes of buccal smear using confocal microscopy. The use of fluorescence dye (Acridine Orange) and visualization under confocal laser scanning microscope enhanced the demonstration of nuclei and micronuclei. They also helped to avoid false positive results.

Statistical analysis revealed that cell degeneration was present in 44 cases out of 100 cases. It was statistically significant (p = 0.05) implying that increase in blood glucose level leads to oxidative stress and showed increase in degeneration and necrosis. The various qualitative changes included karyorrhexis, pyknosis, apoptosis and chromatin abnormalities. Previous studies by Alberti et al<sup>5</sup> and Shareef et al<sup>6</sup> also demonstrated qualitative changes of karyorrhexis. Another significant qualitative change found was the presence of keratinization. This finding is in accordance with an earlier study by Zimmermann and Zimmermann<sup>22</sup> who stated that endocrine disorders like DM had increased keratinized cell count in the buccal mucosa. The increase in this keratinization may be a compensation for decreased salivary flow.<sup>5</sup> Binucleation was present in 45 cases out of 100 but showed no statistically significant result. The significance of these binucleate cells is unknown, but they are probably indicative of failed cytokinesis following the last nuclear division in the basal cell layer. Studies by Alberti et al<sup>5</sup> and Shareef et al<sup>6</sup> also showed similar findings of binucleation. Increased number of polymorphonuclear leukocytes was observed

#### Deepika Gopal et al



**Figs 3A to H:** Binucleation viewed under light microscope (PAP stain 40×) and under confocal microscope (AO stain 40×) (A and B); Shows microorganisms viewed under light microscope (PAS stain 100×) and under confocal microscope (AO stain 40×) (C and D); Candida seen under light microscope (PAS stain 40×) and under confocal microscope (AO stain 100×) (E and F); cell with feature of cell degeneration seen under light microscope (PAP stain 40×) (G); keratinized cell seen under light microscope (PAP stain 40×) (H)

in the experiment group than chronic inflammatory cells. Increase in inflammation could be due to decreased salivary flow found in diabetics owing to hypofunction of the salivary glands. Jajarm et al<sup>7</sup> in their study found that incidence of inflammation was higher in diabetic smears. Figures 2 and 3 show the various qualitative changes viewed under light microscope and confocal scanning microscope.

#### CONCLUSION

From our study, we could conclude that diabetes produces significant alterations in the cytomorphometry and cytomorphology of buccal epithelial cells. The use of confocal laser scanning microscopy demonstrated qualitative changes with higher resolution and clarity and helped in reducing the possibility of recording false positive and/or false negative observations.

#### **CLINICAL SIGNIFICANCE**

Oral exfoliative cytology is helpful in diabetic patients who have aversion and fear to needle pricks as it is painless and can be carried out regularly. The minimal time and ease of the procedure is beneficial in mass screening and public health awareness programme. Though it may not be used as a diagnostic tool, they can aid in monitoring of DM throughout the lifetime of the patient, thereby decreasing the morbidity and preventing longterm complications.

#### ACKNOWLEDGMENT

The authors would like to thank the Central Research Facility and Department of General Medicine of Sri Ramachandra University, Chennai. The authors would also like to thank the laboratory technician, Department of Oral Pathology and statistician for their valuable contribution in the study.

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